

Newton–Okounkov bodies and minimal models for cluster varieties

Alfredo Nájera Chávez

joint with Lara Bossinger, Man-Wai Cheung and Timothy Magee

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Outline

- Gross-Hacking-Keel-Kontsevich (GHKK) generalized the polytope construction of a toric variety to the world of cluster varieties.

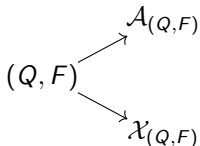
Goal: Reverse this construction and understand this process from the view-point of Newton-Okounkov bodies (NO bodies).

Applications:

- Describe Rietsch-Williams (RW) NO bodies for Grassmannians using tropical geometry and mirror symmetry as a type of *convex hulls*.
- Produce families of examples of NO bodies with the **wall-crossing phenomenon** in the sense of Escobar-Harada.

To define a *pair of mirror dual* cluster varieties of dimension r we need:

- A **quiver** Q without loops $\overset{\curvearrowright}{\bullet}$ nor 2-cycles $\bullet \rightleftarrows \bullet$.
- A subset $F \subset Q_0$ of **frozen vertices**.



$$\text{If } \mathcal{V} = \begin{cases} \mathcal{A}_{(Q, F)} \\ \mathcal{X}_{(Q, F)} \end{cases} \quad \text{then} \quad \mathcal{V}^{\vee} = \begin{cases} \mathcal{X}_{(Q, F)} \\ \mathcal{A}_{(Q, F)} \end{cases}$$

Rough description

Let

- $N \cong \mathbb{Z}^r$ and $M := \text{Hom}(N, \mathbb{Z})$
- $T_N := N \otimes \mathbb{C}$ and $T_M := M \otimes \mathbb{C}$.

Then

$$\mathcal{A}_{(Q,F)} = \bigcup_{s \in \Delta(Q,F)_0} T_{N;s} \quad \text{and} \quad \mathcal{X}_{(Q,F)} = \bigcup_{s \in \Delta(Q,F)_0} T_{M;s},$$

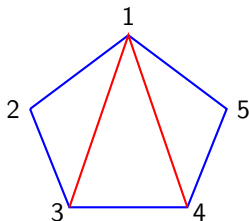
where

- $\Delta(Q, F)$ is a the *cluster complex* associated to (Q, F)
- $\Delta(Q, F)_0$ is the set of vertices of $\Delta(Q, F)$
- each torus has preferred coordinates: **the cluster coordinates**.
- the change of coordinates is given by **cluster transformations**.

Example

A triangulation \mathbf{s} of a pentagon defines:

- a torus in the affine cone $C(\text{Gr}_2(\mathbb{C}^5))$

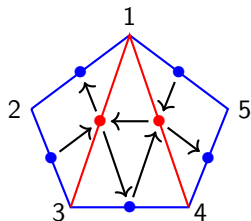
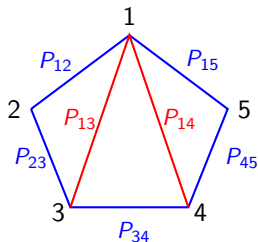


$$T_{\mathbf{s}} = \{A : P_{ij}(A) \neq 0 \text{ for every arc } ij \text{ in } \mathbf{s}\}$$

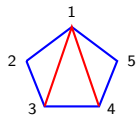
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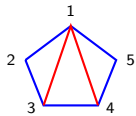
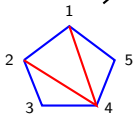
- a torus in the affine cone $C(\text{Gr}_2(\mathbb{C}^5))$
- a quiver

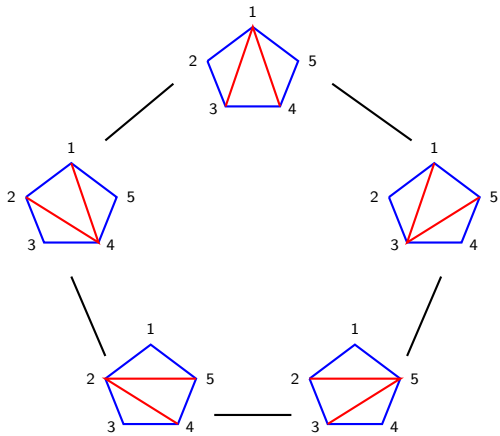


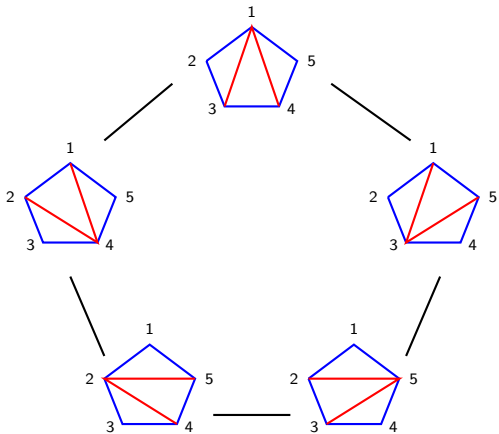
$$T_{\mathbf{s}} = \{A : P_{ij}(A) \neq 0 \text{ for every arc } ij \text{ in } \mathbf{s}\}$$



$$P_{24} = \frac{P_{23}P_{14} + P_{15}P_{12}}{P_{13}}$$







We obtain a cluster structure on $\text{Gr}_2(\mathbb{C}^5) \setminus V(P_{12}P_{23}P_{34}P_{45}P_{15})$.

- $\Delta(Q, F)$ is a pentagon
- $\mathcal{A}_{(Q, F)} \stackrel{\text{codim } 2}{\cong} \text{Gr}_2(\mathbb{C}^5) \setminus V(P_{12}P_{23}P_{34}P_{45}P_{15})$
- $\mathcal{A}_{(Q, F)} \cong \mathcal{X}_{(Q, F)}$

Minimal models

- By construction $\mathcal{A} = \mathcal{A}_{(Q,F)}$ and $\mathcal{X} = \mathcal{X}_{(Q,F)}$ are **log-Calabi-Yau** varieties with **maximal boundary**.
- In particular, they are smooth and have a canonical nowhere vanishing volume form Ω .

Definition

Let \mathcal{V} be a cluster variety. An inclusion $\mathcal{V} \subset Y$ as an open subscheme of a normal variety Y is a **partial minimal model** (p.m.m.) of \mathcal{V} if the canonical volume form on \mathcal{V} has a simple pole along every irreducible divisor of Y contained in $Y \setminus \mathcal{V}$. It is a **minimal model** if Y is, in addition, projective. We call $Y \setminus \mathcal{V}$ the **boundary** of $\mathcal{V} \subset Y$.

Example

If $\overline{\mathcal{A}}$ is obtained by letting the frozen variables vanish then $\mathcal{A} \subset \overline{\mathcal{A}}$ is a partial minimal model.

Tropicalization

A cluster variety \mathcal{V} has a well defined **integral tropicalization**

$$\mathcal{V}^{\text{trop}}(\mathbb{Z}) := \{\text{divisorial valuations } \nu : \mathbb{C}(\mathcal{V})^* \rightarrow \mathbb{Z} \mid \nu(\Omega) < 0\} \cup \{0\}$$

Divisorial valuation on \mathcal{V} : is a valuation of the form ord_D , where D is an irreducible divisor in a variety birational to \mathcal{V} .

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If $\mathcal{V} = T_L$ then $\mathcal{V}^{\text{trop}}(\mathbb{Z}) = L = \text{cocharacter lattice of } T_L$.

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Lemma

Every choice of cluster torus gives rise to identifications

$$\mathcal{X}^{\text{trop}}(\mathbb{Z}) \cong M \qquad \mathcal{A}^{\text{trop}}(\mathbb{Z}) \cong N$$

$$\mathcal{X}^{\text{trop}}(\mathbb{R}) \cong M \otimes \mathbb{R} \qquad \mathcal{A}^{\text{trop}}(\mathbb{R}) \cong N \otimes \mathbb{R}$$

If $\mathcal{V} = \bigcup T_{L,s}$ we write $\mathcal{V}_s^{\text{trop}}(\mathbb{Z})$ if we think of $\mathcal{V}^{\text{trop}}(\mathbb{Z})$ as L .

Conjecture (Fock-Goncharov 03')

The ring of regular functions $\Gamma(\mathcal{V}, \mathcal{O}_{\mathcal{V}})$ has a canonical basis parametrized by $(\mathcal{V}^{\vee})^{\text{trop}}(\mathbb{Z})$.

Facts

- The Fock-Goncharov (FG) conjecture is false in general.
- In 2014, GHKK introduced theta functions on cluster varieties and gave conditions ensuring that

$$\Gamma(\mathcal{V}, \mathcal{O}_{\mathcal{V}}) = \bigoplus_{q \in (\mathcal{V}^{\vee})^{\text{trop}}(\mathbb{Z})} \mathbb{C} \cdot \vartheta_q^{\mathcal{V}}.$$

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For most of our results we assume such decomposition exists.

This property has been verified in various families of examples.

Example

If $\mathcal{V} = T_L$ then $\mathcal{V}^\vee = T_{L^*}$ and $(\mathcal{V}^\vee)^{\text{trop}}(\mathbb{Z}) = L^*$. Then the canonical basis of $\Gamma(\mathcal{V}, \mathcal{O}_{\mathcal{V}})$ is given by the characters of T_L .

Example $\text{Gr}_2(\mathbb{C}^5)$

$$\left\{ \prod_{i \in \mathbb{Z}_5} p_{ii+1}^{c_i} \prod_{\bar{ij} \in \text{int}(\mathbf{s})} p_{ij}^{a_{ij}} \mid \mathbf{s} \text{ is a triangulation, } a_{ij} \in \mathbb{Z}_{\geq 0}, c_i \in \mathbb{Z} \right\}$$

is the set of theta functions on $\mathcal{A}_{(Q,F)}$.

Positive sets

Since we are assuming that $\Gamma(\mathcal{V}, \mathcal{O}_{\mathcal{V}})$ has a theta basis we can define the **structure constants** of this basis as follows:

$$\vartheta_p \vartheta_q = \sum_{r \in (\mathcal{V}^{\vee})^{\text{trop}}(\mathbb{Z})} \alpha(p, q, r) \vartheta_r.$$

Definition

A closed subset $P \subseteq (\mathcal{V}^{\vee})^{\text{trop}}(\mathbb{R})$ is **positive** iff

$$\forall a, b \in \mathbb{Z}_{\geq 0}, \forall p \in aP(\mathbb{Z}), \forall q \in bP(\mathbb{Z})$$

$$\text{and } \forall r \in (\mathcal{V}^{\vee})^{\text{trop}}(\mathbb{Z}) \text{ s.th. } \alpha(p, q, r) \neq 0$$

$$\text{then } r \in (a + b)P.$$

Every positive set $P \subseteq (\mathcal{V}^\vee)^{\text{trop}}(\mathbb{R})$ determines a graded subring

$$R_P \subset \Gamma(\mathcal{V}, \mathcal{O}_{\mathcal{V}})[x].$$

Theorem (GHKK 14' + Keel-Yu 19')

Let $P \subset (\mathcal{V}^\vee)^{\text{trop}}(\mathbb{R})$ be a top dimensional, compact, rational positive polytope. Then we have an open inclusion

$$\mathcal{V} \hookrightarrow \text{proj}(R_P)$$

and a (multiparameter) toric degeneration

$$(\mathcal{V} \subset \text{proj}(R_P)) \rightsquigarrow (T_L \subset TV_P),$$

where TV_P is the toric variety associated to P . Moreover, $\text{proj}(R_P)$ is a minimal model for \mathcal{V} .

Aim:

- 1) Reverse this construction. Namely, for an open inclusion $\mathcal{V} \subset Y$ with Y projective and normal, construct a positive polytope $P_Y \subset (\mathcal{V}^\vee)^{\text{trop}}(\mathbb{R})$.
- 2) When this is possible show that P_Y is a Newton-Okounkov body.

NO bodies

Let Y be a d -dimensional variety (over \mathbb{C}). Suppose we have a valuation

$$\nu : \mathbb{C}(Y)^* \rightarrow (\mathbb{Z}^d, <).$$

Fix a line bundle

$$\mathcal{L} \rightarrow Y$$

and consider the [section ring](#)

$$R(\mathcal{L}) = \bigoplus_{k \geq 0} R_k(\mathcal{L}).$$

Definition

The [Newton-Okounkov body](#) associated to ν and \mathcal{L} is

$$\Delta_\nu(\mathcal{L}) := \overline{\text{conv} \left(\bigcup_{k \geq 1} \left\{ \frac{1}{k} \nu(f) \mid f \in R_k(\mathcal{L}) \setminus \{0\} \right\} \right)}.$$

- NO bodies are a far reaching generalization of both the Newton polytope of a Laurent polynomial and the polytope of a polarized projective toric variety.
- First introduced by Okounkov to develop the **asymptotic theory** of linear series of divisors.
- Its systematic study was developed by Lazarsfeld-Mustata 09' and Kaveh-Khovanskii 12'.
- Anderson 13' used them to construct (one parameter) toric degenerations of Y .

Cluster valuations

$$\tilde{B}_{(Q,F)} = \begin{bmatrix} B_{m \times m}^Q \\ B_{f \times m}^Q \end{bmatrix}_{(m+f) \times (m)} = [\#\{\text{arrows } i \rightarrow j\} - \#\{\text{arrows } j \rightarrow i\}]_{ij}$$

Theorem (Fujita-Oya 20')

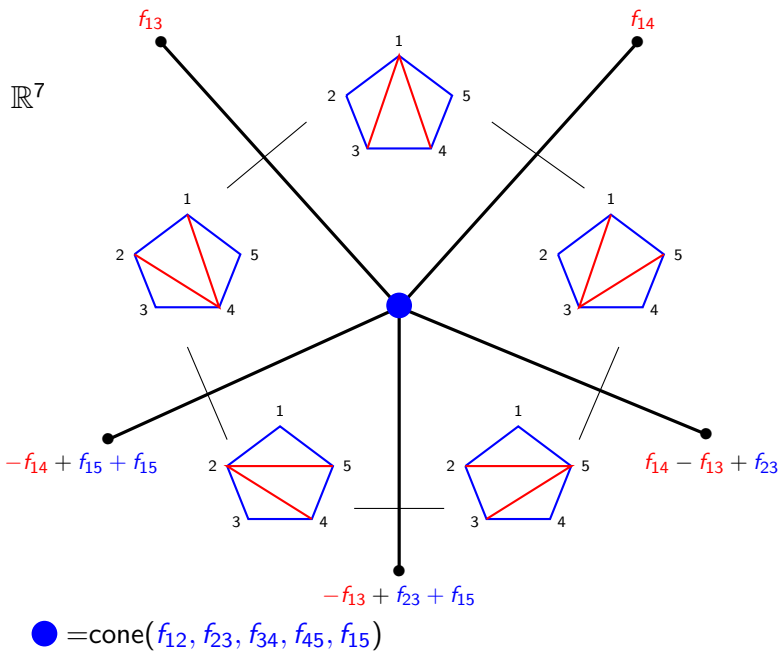
Suppose $\tilde{B}_{(Q,F)}$ has full rank. Fix an identification $\mathcal{X}_s^{\text{trop}}(\mathbb{Z}) \cong M$. Then there exists a linear order \prec_s on M and a valuation

$$\mathbf{g}_s : \mathbb{C}(\mathcal{A})^* \rightarrow (M, \prec_s)$$

such that $\mathbf{g}_s(\vartheta_m) = -m$.

Remark (BCMNC 23')

If the FG conjecture holds for \mathcal{X} then there is an analogous valuations on $\mathbb{C}(\mathcal{X})^*$.



Assume \mathcal{V} satisfies the FG conjecture. Fix a p.m.m. $\mathcal{V} \hookrightarrow Y$ and let D_1, \dots, D_n be the irreducible components of $Y \setminus \mathcal{V}$. Then

$$\text{ord}_{D_i} \in \mathcal{V}^{\text{trop}}(\mathbb{Z}) \quad \text{and} \quad \vartheta_{\text{ord}_{D_i}}^{\mathcal{V}^\vee} \in \Gamma(\mathcal{V}^\vee, \mathcal{O}_{\mathcal{V}^\vee}).$$

Definition

The ϑ -superpotential associated to $\mathcal{V} \subset Y$ is

$$W_Y = \sum_{i=1}^n \vartheta_{\text{ord}_{D_i}}^{\mathcal{V}^\vee}.$$

We say that $\mathcal{V} \subset Y$ has enough theta functions if

$$\{W_Y^{\text{trop}} \geq 0\} := \{\text{ord}_{D'} \in (\mathcal{V}^\vee)^{\text{trop}}(\mathbb{Z}) \mid \text{ord}_{D'}(W_Y) \geq 0\}$$

is a basis for $\Gamma(Y, \mathcal{O}_Y)$.

Remark

GHKK gave sufficient conditions ensuring that a p.m.m. $\mathcal{V} \subset Y$ has enough theta functions.

Example 1

Let $Y = \text{Gr}_{n-k}(\mathbb{C}^n)$ and $\mathcal{X} = Y \setminus D$ be the **positroid variety** inside Y , where

$$D = \bigcup_{i=1}^n D_i \quad \text{and} \quad D_i = V(P_{[i, n-k+i-1]}).$$

Then

$$W_Y : \mathcal{A} \rightarrow \mathbb{C}$$

- \mathcal{A} is the positroid variety inside $\text{Gr}_k(\mathbb{C}^n)$
- $W_Y = \sum_{i=1}^n W_i$

$$W_i := \frac{P_{[i+1, i+k-1] \cup \{i+k+1\}}}{P_{[i+1, i+k]}}$$

W_Y is the superpotential introduced by Marsh-Rietsch. Shen-Weng verified that this is the ϑ -superpotential.

Example 2

- $G = SL_n(\mathbb{C})$
- $Y = G/U$ is the **base affine space**
- $\mathcal{A} = G^{e, w_0} := B_- \cap B_{w_0} B$ is the **double Bruhat cell**.

Here B is a Borel subgroup, $B_- \subset G$ is the Borel subgroup opposite to B (i.e. $B \cap B_- =: T$ is a maximal torus) and w_0 the longest element in this Weyl group S_n is identified with a matrix representative in $N_G(T)/C_G(T)$.

Theorem (Magee 15')

The inclusion $\mathcal{A} \subset Y$ is a partial minimal model with enough theta functions and the **Gelfand-Tsetlin cone** is unimodular equivalent to the ϑ -superpotential cone $\{W_Y^{\text{trop}} \geq 0\}$.

Quotients of \mathcal{A}

Every matrix of the form

$$\begin{bmatrix} B_{m \times m}^Q & -(B_{f \times m}^Q)^{\text{tr}} \\ B_{f \times m}^Q & *_{f \times f} \end{bmatrix}_{(m+f) \times (m+f)}$$

gives rise to a map **cluster ensemble map**

$$\mathcal{A}_Q \rightarrow \mathcal{X}_Q.$$

A choice of saturated sublattice $H \subset \text{Ker}(p^*)$ gives rise to both an action and a fibration

$$T_H \curvearrowright \mathcal{A} \quad \text{and} \quad w_H : \mathcal{X} \rightarrow T_{H^*}.$$

We have a geometric quotient

$$(\mathcal{A}/T_H) = \bigcup_{\mathbf{s} \in \Delta(Q, F)_0} (T_N/T_H)_{\mathbf{s}}.$$

The weight map

We tropicalize w_H to obtain linear map

$$w_H^{\text{trop}} : \mathcal{X}_s^{\text{trop}}(\mathbb{Z}) \rightarrow H^*.$$

Lemma (GHKK-BCMNC)

Every theta function on \mathcal{A} is an eigenfunction with respect to the T_H action. Moreover, the set $(w_H^{\text{trop}})^{-1}(h)$ parametrizes those theta functions on \mathcal{A} of weight h .

Universal torsors

Definition

The **Picard group** of Y is the abelian group

$$\mathrm{Pic}(Y) = \{[\mathcal{L}] \mid \mathcal{L} \text{ is a line bundle over } Y\}.$$

The **universal torsor** of Y is

$$\mathrm{UT}_Y := \mathbf{Spec}_Y \left(\bigoplus_{[\mathcal{L}] \in \mathrm{Pic}(Y)} \mathcal{L} \right).$$

Assume $\mathrm{Pic}(Y)$ is free.

Then $\Gamma(\mathrm{UT}_Y, \mathcal{O}_{\mathrm{UT}_Y})$ is $\mathrm{Pic}(Y)$ -graded \rightsquigarrow action $T_{\mathrm{Pic}(Y)^*} \curvearrowright \mathrm{UT}_Y$.

Example

Let $G = SL_n(\mathbb{C})$. Consider the **full flag variety** G/B . Then

$$\mathrm{UT}_{G/B} \cong G/U.$$

Definition

Let $p : N \rightarrow M$ be a cluster ensemble map and $H \subset \text{Ker}(p^*)$. We say that the pair (p^*, H) has the **Picard property** with respect to a partial minimal model $\mathcal{A} \subset \text{UT}_Y$ if

- H and $\text{Pic}(Y)^*$ have the same rank,
- the action of T_H on \mathcal{A} coincides with the action of $T_{\text{Pic}(Y)^*}$ on UT_Y restricted to \mathcal{A} .

Theorem (Bossinger-Cheung-Magee-NC)

Assume $\mathcal{A} \subset \text{UT}_Y$ is a partial minimal model with enough theta functions and \mathcal{A} has full rank. Suppose there is a pair (p^*, H) with the Picard property with respect to $\mathcal{A} \subset \text{UT}_Y$. Then $\mathcal{A}/T_H \subset Y$ is a minimal model and for every $[\mathcal{L}] \in \text{Pic}(Y)$ and every seed \mathbf{s}

$$\Delta_{\mathbf{g}_s}(\mathcal{L}) = (w^{\text{trop}})^{-1}(\mathcal{L}) \cap \{W_{\text{UT}_Y}^{\text{trop}} \geq 0\}.$$

In particular, $\Delta_{\mathbf{g}_s}(\mathcal{L})$ is a positive set.

Concluding remarks

- Have a version of the theorem that makes no reference to universal torsors and considers **Weil divisors** as opposed to line bundles and minimal models for \mathcal{V} of the form \mathcal{A} , \mathcal{X} , \mathcal{A}/T_H or \mathcal{X}_1 .
- Can show that the NO bodies associated to Grassmannians by RW are of the form described in the previous theorem. This allows to describe every such NO body as the **broken line convex hull** of the valuations of the Plücker coordinates (after CMNC).
- Our examples produce various examples of NO bodies with the **wall-crossing phenomena** in the sense of Escobar-Harada.

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